CPA $2800

PTO/SB/29 (10-00)
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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:
[__]   DUPLICATE

#9 RPA
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Susten

Address to:	**Assistant Commissioner for Patents**
	Box CPA
	Washington, D. C. 20231

Attorney Docket No. of Prior Application _____ 42390.P7178 _____
First Named Inventor Horgan, et al.
Examiner Name Mathew E. Warren
Group Art Unit 2815
Express Mail Label No. ____ 09/470,092 ____

This is a request for a   [x]   continuation or   [__]   divisional under 37 CFR 1.53(d)

(continuation prosecution application (CPA)) of prior application No: 09 /470,092 ___ ,

filed on 12/21/1999 ____ , entitled Method and Apparatus Encoding Information In An IC Package

If the Patent and Trademark Office determines that this request under 37 CFR 1.53(d) is improper, the Office is requested to treat this CPA as a request for continued examination of the above identified application under 37 CFR 1.114.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application practice," Final rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim rule, 65 Fed. Reg. 14365 (Mar. 20, 2000), 1233 Off. Gaz. Pat. office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ___   Enter the unentered amendment previously filed on _____
           under 37 CFR 1.116 in the prior nonprovisional application.

2. _x_   A preliminary amendment is enclosed.

3. ___   This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).

           ___   a. **DELETE** the following inventor(s) named in the prior nonprovisional application:

           _____

           ___   b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

4. ___   A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.

5. ___   Information Disclosure Statement (IDS) is enclosed:

           a. ___   PTO – 1449
           b. ___   Copies of IDS Citations

03/18/2003 AWONDAF1 00000062 09470092
01 FC:1006   750.00 OP

CLAIMS

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
Total Claims (37 CFR 1.16(c) or (j))	__14__ – 20* =	_____	X $_____ =	$_____
Independent Claims (37 CFR 1.16(b) or (j))	_____ – 3** =	_____	X $_____ =	$_____
Multiple Dependent Claims (if applicable) (37 CFR 1.16(d))			+ $_____ =	$_____
			BASIC FEE (37 CFR 1.16(a))	$_____

Total of above Calculations = $_____

Reduction by 50% for filing by small entity (Note 37 CFR 1.27). $_____

* Reissue claims in excess of 20 and over original patent.

** Reissue independent claims over original patent.

TOTAL = $ _750_

6. _____ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.

7. __X__ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. __02-2666_____.

   a. _x_ Fees required under 37 CFR 1.16.

   b. _x_ Fees required under 37 CFR 1.17.

   c. _x_ Fees required under 37 CFR 1.18.

8. _____ A check in the amount of $ _____ is enclosed.

9. _____ Payment by credit card. Form PTO-2038 is attached.

10. _____ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.

11. _____ New Attorney Docket Number, if desired _____
    (Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)

12. a. _____ Receipt for Facsimile Transmitted CPA (PTO/SB/29A)
    b. __x__ Return Receipt Postcard (Should be specially itemized, See MPEP 503)

13. _____ **Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (Reminder: First investigate foreign filing question).**

14. _x_ Other: __Applicant Petitions for an Extension of Time of Two months pursuant to 37 C.F.R. 1.136(a)__

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

14.   NEW CORRESPONDENCE ADDRESS

_____ Customer Number or Bar Code Label
           OR

_____ New Correspondence Address Below

(Insert Customer No. or Attach Bar Code Label here)
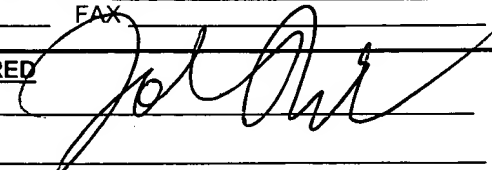
NAME _John Ward_____

ADDRESS _12400 Wilshire Blvd., 7th Fl._____

CITY _Los Angeles_____ STATE _CA_____ ZIP CODE _90025___

COUNTRY _____ TELEPHONE _408/720-8300_____ FAX _____

15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME _John Ward_____ SIGNATURE _____

REGISTRATION NO. _40,216____ DATE _3/11/2003_____

12/07/00   - 2 -